

LAHIVE
&
COCKFIELD
LLP

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahive.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
PETER C. LAURO
JEANNE M. DIGIORGIO
DEBRA J. MILASINIC, Ph.D.
NICHOLAS P. TRIANO, III
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN

533 Rec'd PCT/PTO 20 AUG 2001

SEAN D. DETWEILER
CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICHANAND
MICHAEL PHILLIPPS
LISA M. DIROCCO

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
PETER S. STECHER
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.

TECHNICAL SPECIALISTS
MARIA LACCOTRIPES & CHARAKIS, Ph.D.
CYNTHIA M. SOROKO
PETER W. DINI, Ph.D.
EUIHOON LEE
JENNIFER K. ROSENFELD
JUDITH STONE-HILLISLANDER, Ph.D.
ALLAN TAMESHITI, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
ASHITA DOSHI, Ph.D.
JACOB G. WEINBAUM

* Admitted in NY only
** Passed the Patent Bar Examination

RECEIVED
AUG 20 2001

August 17, 2001

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/743,242
(Based on International Patent Application Serial No. PCT/US99/15115)
Title: "Improved Methods for Storing Neural Cells"
Inventors: Jan Koopmans *et al.*
Filing Dates: July 1, 1999 (PCT) January 5, 2001 (United States)
Attorney Docket No. DNI-038CPUS

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449; and
3. Prepaid acknowledgment postcard.


No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. *For this purpose, a duplicate of this sheet is attached.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:


August 17, 2001

Date


Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP


Megan E. Williams, Esq.
Registration No. 43,270
Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
OCT 3 2001
TECH CENTER 1690/2900

In re the application of: Jan Koopmans *et al.*

U.S. Serial No.: 09/743,242

Priority Date: July 6, 1998

PCT Filing Date: July 1, 1999

U.S. Filing Date: January 5, 2001

For: "Improved Methods for Storing Neural Cells"

Attorney Docket No.: DNI-038CPUS

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Commissioner for Patents
Washington, D.C. 20231

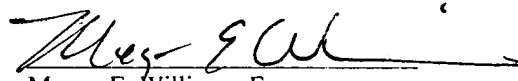
Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

August 17, 2001

Date of Signature and of Mail Deposit

By:


Megan E. Williams, Esq.
Registration No. 43,270
Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their attorney are aware of the following patents, publications or other information, which are cited on the attached PTO Form 1449, and in accordance with 37 C.F.R. §1.97 hereby submit these forms for the Examiner's consideration.

The present application is a Continuation-in-Part Application of U.S. Serial No. 09/110,772 filed July 6, 1998 (Atty. Docket No. DNI-038). All references listed on the enclosed PTO Form 1449 have been previously cited by or submitted to the U.S. Patent Office in

the prior application, and, in accordance with 37 C.F.R. §1.98(d), copies of these references are not enclosed herewith but will be provided upon request.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 C.F.R. § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Megan E. Williams, Esq.
Registration No. 43,270
Attorney for Applicants

28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Date: August 17, 2001